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**CENTRAL FAX CENTER****SEP 06 2005****FACSIMILE TRANSMISSION****FROM:** Michael A. DeSanctis, Esq.**TELEPHONE:** 303/607-3633**DATE:** September 6, 2005**TIME:** 5:10 pm (Denver)**NUMBER OF PAGES (including this page):** 26**F&B FILE:** 249737**TO:** Commissioner for Patents**TELEPHONE:****FAX:** (571) 273-8300**MESSAGE****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE****In re Application of:**

Jeff N. Maggioncalda et al.

**Examiner:**

Thomas A. DIXON

**Art. Unit:**

3639

**Serial No.:** 09/904,707**Confirmation No.:** 4572**Filed:** July 12, 2001**Atty. Docket No.:** 59036-249737**For:** USER INTERFACE FOR A FINANCIAL ADVISORY SYSTEM THAT ALLOWS AND END USER TO INTERACTIVELY EXPLORE TRADEOFFS AMONG INPUT DECISIONS**CERTIFICATE OF TRANSMISSION UNDER 37 CFR 1.8**I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING FACSIMILE TRANSMITTED TO THE UNITED STATES PATENT AND TRADEMARK OFFICE VIA FACSIMILE NO. (571) 273-8300, ON: September 6, 2005.  
Claudia Mendoza**Attached Correspondence:** 1. Amendment & Response (25 pages).**IF YOU DO NOT RECEIVE ALL PAGES. PLEASE CALL OFFICE SERVICES AT 303/607-3900 OR CLAUDIA MENDOZA AT 303/607-3815.**

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SEP 06 2005

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Examiner: Thomas A. Dixon

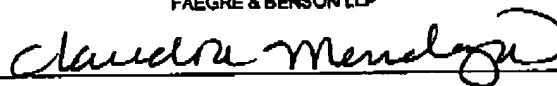
Art Unit: 3639

Confirmation No.: 4572

## CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage via first-class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on: September 6, 2005.

FAEGRE &amp; BENSON LLP



Claudia Mendoza

Mail Stop **AMENDMENT**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**AMENDMENT AND RESPONSE TO OFFICE ACTION**

Dear Sir:

In response to the Office Action mailed June 7, 2005, the Applicant respectfully requests the Examiner to enter the following amendment and to consider the following remark:

**Amendment to the Claims** is reflected in the listing of claims which begins on page 2 of this paper.

**Remarks** begin on page 21 of this paper.